

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 210840US0
First Inventor or Application Identifier Yukihiro NAKAMURA, et al.
Title WATER-BASED INK COMPOSITION

Assignee Name: Kao Corporation

Assignee Address: 14-10, 1-chome, Kayaba-cho, Nihonbashi, Chuo-ku, Tokyo, Japan

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

Total Sheets 38

2. ☒ Specification

Total Sheets

- ☐ Formal Drawing(s)
(35 U.S.C. 113)

Total Pages 3

- ☒ Oath or Declaration

- a. ☒ Newly executed (original)
b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)

- b. Specification or Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper

- c. ☐ Statements verifying identity of above copies

7. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:
Group Art Unit:
Prior application information: Examiner:
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is
considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon
when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:
☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

- ☐ Which was published in English
☐ Which was not published in English

- ☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



22850

(703) 413-3000

FACSIMILE: (703) 413-2220

| | | | |
|------------|---------------------|-------------------|--------|
| Name: | Norman F. Oblon | Registration No.: | 24,618 |
| Signature: | | Date: | 7/9/01 |
| Name: | C. Irvin McClelland | Registration No.: | |

Registration Number 21,124

Docket No.

210840US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Yukihiko NAKANO, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: WATER-BASED INK COMPOSITION

FEE TRANSMITTAL

3c866 U.S. PTO
09/900172
07/09/01

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS | 6 - 20 = | 0 | × \$18 = | \$0.00 |
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| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) | | | + \$270 = | \$0.00 |
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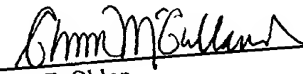
☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.

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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Norman F. Oblon
Registration No. 24,618
C. Irvin McClelland
Registration Number 21,124

Date: 9/9/01



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)